

Date Mailed: August 16, 2004

Sheet 1 of 1

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| <b>FORM 1449*</b><br><b>INFORMATION DISCLOSURE STATEMENT</b><br><b>IN AN APPLICATION</b><br><small>(Use several sheets if necessary)</small> | <b>Docket Number:</b><br><b>10873.1394US01</b> | <b>Application Number:</b><br><b>10/765,309</b> |
|  | <b>Applicant: NISHII et al.</b>                |   |
|  | <b>Filing Date:</b> January 27, 2004           | <b>Group Art Unit:</b> Unknown                  |



## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

|  | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|--------------|------|---------|-------|----------|-------------|----|
|  |              |      |         |       |          | YES         | NO |
|  |              |      |         |       |          |             |    |
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|  |              |      |         |       |          |             |    |
|  |              |      |         |       |          |             |    |
|  |              |      |         |       |          |             |    |

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

|     |  |   |
|-----|--|---|
| MJS |  | S. Janz, et al., "TuK2(Planar waveguide echelle gratings: an embeddable diffractive element for photonic integrated circuits)", Proceedings of OFC 2002, March, 2002, pp. 69-70 |
| MJS |  | Christopher N. Morgan, et al., "Compact Integrated Silica Wavelength Filters", IEEE Photonics Technology letters, vol. 14, no. 9, September, 2002, pp. 1303-1305.               |
| MJS |  | Susumu Noda, et al., "Full Three-Dimensional Photonic Bandgap Crystals at Near-Infrared Wavelengths", SCIENCE, vol. 289, July 2000, pp. 604-606                                 |
| MJS |  | J. Koyama, et al. "Optical Wave Electronics", CORONA PUBLISHING CO., LTD., Tokyo, 1978, Chapter 4, pp. 98-101 and 132 (with partial translation)                                |

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PATENT TRADEMARK OFFICE

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant